



USSN: 09/844,662
Atty. Dkt. No.: 8325-0012
Client Dkt. No.: S12-US1

PATENT

CERTIFICATE OF MAILING PURSUANT TO 37 CFR § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 22, 2006.

8/22/06
Date

Michelle Hobson
Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

RASCHKE et al.

Serial No.: 09/844,662

Filing Date: April 27, 2001

Title: METHODS FOR BINDING AN
EXOGENOUS MOLECULE TO
CELLULAR CHROMATIN

Examiner: J. Brusca

Group Art Unit: 1631

Confirmation No.: 9004

Customer No.: 20855

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97(c)**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sirs:

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56,
Applicant(s) hereby submits the following information in conformance with 37 C.F.R.
§§1.97 and 1.98.

- ☒ Pursuant to 37 C.F.R. §1.98, a copy of each document cited in the attached Form PTO/SB/08 is enclosed.
- ☐ No copies of the publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. ___ to which the above-identified application claims priority under 35 U.S.C. §120.

- ☐ Publication(s) _____ listed on the attached Form PTO/SB/08A were cited in a foreign search or examination report corresponding to ____ application serial no. _____ and mailed on _____.
- ☐ Enclosed is a copy of a non-English publication(s) _____. Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).
- ☐ Enclosed is a copy of a non-English publication(s) _____. English language publication ____ (copy enclosed) claims priority from this non-English publication.
- ☐ Enclosed is an explanation of non-English publication(s) ____ for which an English translation is not available.
- ☐ Enclosed is an English translation of non-English publication(s) ____ cited in the attached Form PTO/SB/08A.
- ☐ Enclosed is a copy of pending patent Application Serial No. _____.

This Information Disclosure Statement is filed after the period specified in 37 C.F.R. § 1.97(b), but before the mailing of:

- ☒ a final action under 37 C.F.R. § 1.113;
- ☐ a notice of allowance under 37 C.F.R. § 1.113; or
- ☐ an action that otherwise closes prosecution in this application.

In accordance with 37 C.F.R. § 1.97(c) also enclosed is:

- ☒ Fee under 37 C.F.R. § 1.17(p) in the amount of \$180.00; or
- ☐ Statement as specified in 37 C.F.R. § 1.97(e):
 - ☐ Each item of information contained in the Information Disclosure Statement cited herein was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of the Information Disclosure Statement; or
 - ☐ No item of information contained in the Information Disclosure Statement submitted herewith was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, having made a reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in 37

C.F.R. § 1.56(c) more than three months prior to the filing date of the Information Disclosure Statement.


This Information Disclosure Statement under 37 CFR § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.

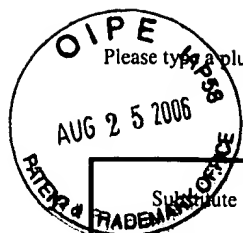
Respectfully submitted,

Date: August 22, 2006

By:


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PTO/SB/08A (08-00)

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known		
Sheet		1	of	1	Application Number	09/844,662
					Filing Date	April, 27, 2001
					First Named Inventor	RASCHKE, Eva
					Group Art Unit	1631
					Examiner Name	BRUSCA, John S.
					Attorney Docket Number	8325-0012

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code ² (if known)		

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T ⁵
		Office ³	Number ⁴	Kind Code			

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ¹
	C1	Cirillo, <i>et al.</i> , "Binding of the Winged-Helix Transcription Factor HNF3 to a Linker Histone Site in the Nucleosome," <i>EMBO J</i> 17(1):244-254 (1998)	
	C2	Pabo, <i>et al.</i> , "Transcription Factors: Structural Families and Principles of DNA Recognition," <i>Ann Rev Biochem</i> 61:1053-1095 (1992)	
	C3	Smith, <i>et al.</i> , "Transcription Regulation of Mammalian Genes <i>In Vivo</i> ," <i>J Biol Chem</i> 272(44):27493-27496 (1997)	
	C4	Wong, <i>et al.</i> , "Structural and Functional Features of a Specific Nucleosome Containing a Recognition Element for the Thyroid Hormone Receptor," <i>EMBO J</i> 16(23):7130-7145 (1997)	
	C5	Zhang, <i>et al.</i> , "Synthetic Zinc Finger Transcription Factor at an Endogenous Chromosomal Site," <i>J Biol Chem</i> 275(43):33850-33860 (2000)	
	C6	ATCC: Cell Biology Collection ATCC Number: CRL-1658 TM product description as printed on August 22, 2006.	
	C7	ATCC: Cell Biology Collection ATCC Number: CCL-70 TM product description as printed on August 22, 2006.	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number.

² See attached Kinds of U.S. Patent Documents.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵ Applicant is to place a check mark here if English language Translation is attached.